PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 10, 2007.

Applicant

: William L. Johnson/

Confirmation No.3170

Application No. : 10/573,148

Filed

: December 21, 2006

Title

: FE-BASE IN-SITU COMPOSITE ALLOYS COMPRISING

AMORPHOUS PHASE

Grp./Div.

: 1742

Examiner

: Unassigned

Docket No.

: 53601/L471

INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Post Office Box 7068 Pasadena, CA 91109-7068 January 10, 2007

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the references listed under "Foreign Patent Documents" and "Other Documents" are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Application No. 10/573,148

The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Ву_

John W Peck

Reg. No. 44,284 626/795-9900

JWP/tt

Enclosures:

PTO/SB/08A/B, w/references

TXT IRV1103030.1-*-01/9/07 4:27 PM

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B

INFORMATION DISE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	53601/L471
Application Number	10/573,148
Filing Date	December 21, 2006
Applicant(s)	Johnson et al.
Group Art Unit	1742
Examiner Name	Unassigned

		U.S. P.	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		2,106,145	01/18/1938	Floraday
		2,124,538	07/26/1938	Boyer
		3,322,546	05/30/1967	Tanzman et al.
		3,539,192	11/10/1970	Prasse
		3,776,297	12/04/1973	Williford et al.
		3,948,613	04/06/1976	Weill
	***	3,970,445	07/20/1976	Gale et al.
		3,986,867	10/19/1976	Masumoto et al.
	• •	3,986,892	10/19/1976	Ewe et al.
		4,024,902	05/24/1977	Baum
		4,067,732	01/10/1978	Ray
		4,124,472	11/07/1978	Riegert
	-	4,125,737	11/14/1978	Andersson
		4,163,071	07/31/1979	Weatherly et al.
		4,260,416	04/07/1981	Kavesh et al.
		4,268,564	05/19/1981	Narasimhan
		4,330,027	05/18/1982	Narasimhan
		4,374,900	02/22/1983	Hara et al.
		4,381,943	05/03/1983	Dickson et al.
		4,396,820	08/02/1983	Puschner
		4,409,296	10/11/1983	Ward
		4,482,612	11/13/1984	Kuroki et al.
		4,487,630	12/11/1984	Crook et al.
		4,488,882	12/18/1984	Dausinger et al.

	~ ···	· · · · · · · · · · · · · · · · · · ·
EXAMINER	DATE	
SIGNATURE	CONSIDERED	
J.G.WITCKE	CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	53601/L471
INFORMATION DISCLOSURE	Application Number	10/573,148
STATEMENT BY APPLICANT	Filing Date	December 21, 2006
STATEMENT BY APPLICANT	Applicant(s)	Johnson et al.
(use as many sheets as necessary)	Group Art Unit	1742
	Examiner Name	Unassigned

·			ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No.1	Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,499,158	02/12/1985	Onuma et al.
		4,515,870	05/07/1985	Bose et al.
		4,523,625	06/18/1985	Ast
		4,526,618	07/02/1985	Keshavan et al.
		4,557,981	12/10/1985	Bergmann
		4,564,396	01/14/1986	Johnson et al.
		4,585,617	04/29/1986	Tenhover et al.
		4,612,059	09/16/1986	Mori et al.
		4,656,099	04/07/1987	Sievers
		4,668,310	05/26/1987	Kudo et al.
		4,704,169	11/03/1987	Kimura et al.
	_	4,725,512	02/16/1988	Scruggs
		4,731,253	03/15/1988	DuBois
		4,741,974	05/03/1988	Longo et al.
		4,770,701	09/13/1988	Henderson et al.
		4,810,850	03/07/1989	Tenkula et al.
		4,960,643	10/02/1990	Lemelson
		5,127,969	07/07/1992	Sekhar
		5,189,252	02/23/1993	Huffman et al.
		5,288,344	02/22/1994	Peker et al.
		5,294,462	03/15/1994	Kaiser et al.
		5,340,413	08/23/1994	Martis
		5,368,659	11/29/1994	Peker et al.
		5,380,349	01/10/1995	Taniguchi et al.

EXAMINER SIGNATURE	DATE CONSIDERED	
SIGNATORE	CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	53601/L471
INFORMATION DISCLOSURE	Application Number	10/573,148
	Filing Date	December 21, 2006
STATEMENT BY APPLICANT	Applicant(s)	Johnson et al.
(use as many sheets as necessary)	Group Art Unit	1742
	Examiner Name	Unassigned

		U.S. P.	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,440,995	08/15/1995	Levitt
		5,482,577	01/09/1996	Hashimoto et al.
		5,567,251	10/22/1996	Peker et al.
		5,567,532	10/22/1996	Peker et al.
		5,735,975	04/07/1998	Lin et al.
		6,010,580	01/04/2000	Dandliker et al.
		US 6,183,889 B1	02/06/2001	Koshiba et al.
		US 6,218,029 B1	04/17/2001	Rickerby
		US 6,325,868 B1	12/04/2001	Kim et al.
		US 6,326,295 B1	12/04/2001	Figura
		US 2002/0036034 A1	03/28/2002	Xing et al.

		FOREIGN 1	PATENT DOCUM	IENTS	
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	(⋄)
		JP 56-112449	09/04/1981	TDK Corporation	Abstract
		GB2005302A	04/19/1979	Rolls Royce	
		WO00/68469 A2	11/16/2000	California Institute of Technology	
		WO03/040422 A1	05/15/2003	Johns Hopkins University	
		DE010237992 A1	03/27/2003	Leibniz Institut für Festkorper	Abstract
		JP02001303218A	10/31/2001	Japan Science & Technology Corp.	Abstract

EXAMINER SIGNATURE	DATE
SIGNATURE	CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	53601/L471
INFORMATION DISCLOSURE	Application Number	10/573,148
	Filing Date	December 21, 2006
STATEMENT BY APPLICANT	Applicant(s)	Johnson et al.
(use as many sheets as necessary)	Group Art Unit	1742
	Examiner Name	Unassigned

		OTHER DOCUMENTS
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Author unknown, "Standard Practice for Conducting Dry Sand/Rubber Wheel Abrasion Tests", Designation G 65 - 81, source unknown, pp. 351-368
		Koch et al., "Preparation of "Amorphous" Ni ₆₀ Nb ₄₀ By Mechanical Alloying", Appl. Phys. Lett., December 1983, Vol. 43, No. 11, pp. 1017-1019
		Author unknown, "A World of Superabrasives Experience At Your Service", source unknown, 4 pgs.
		Author unknown, "GE Superabrasives - The Metal Bond System", source unknown, 1 pg.
		Author unknown, "GE Superabrasives - The Resin Bond System", source unknown, 1 pg.
		Author unknown, "GE Superabrasives - Micron Powders", source unknown, 1 pg.
		Author unknown, "GE Superabrasives - The MBS 700 Series Product Line", source unknown, 2 pgs.
		Author unknown, "GE Superabrasives - The MBS-900 Series Product Line", source unknown, 2 pgs.
		Masumoto, "Recent Progress in Amorphous Metallic Materials in Japan", Materials Science and Engineering, 1994, Vol. A179/A180, pp. 8-16
		ASM Committee on Tooling Materials, "Superhard Tool Materials", Metals Handbook, Ninth Edition, Vol. 3, Properties and Selection: Stainless Steels, Tool Materials and Special Purpose Metals, American Society for Metals, 1980, pp. 448-465, title page and copyright page

TXT IRV1103027.1-*-01/9/07 3:52 PM

	- 	
EXAMINER	DATE	
SIGNATURE	CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.